

PATENT ATTORNEY DOCKET NO.: 040894-5644

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents **BOX PATENT APPLICATION**Washington, D.C. 20231

TRANSMITTAL FOR A NEWLY EXECUTED ORIGINAL APPLICATION UNDER 37 C.F.R. §1.53(b)

This is a request for filing a patent application under 37 C.F.R. §1.53(b) for:

Inventors: Osamu KASONO, Yoshiaki KOJIMA and Yasumitsu WADA

For: OPTICAL HEAD APPARATUS

- 1. This is a new [X] Utility [] Design [] Plant patent application.
- 2. The papers enclosed to obtain a filing date are as follows:
 - 25 Pages of Specification including
 - <u>0</u> Title Page
 - Page of Claims
 - Page of Abstract
 - 9 Sheets of drawings containing <u>5</u> Figures
 - [] The enclosed drawing(s) are photograph(s), and there is also attached a PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)
- 3. Combined Declaration and Power of Attorney
 - [X] Enclosed and is executed by all inventors.
 - [] Not Enclosed.

This application is being filed under the provisions of 37 C.F.R. §1.53(f). Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the Declaration and paying the filing fees.

		fee.				
5. Assignment						
		An assignment of the invention to <u>Pioneer Corporation</u> and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.				
	[]	An assignment will	l be filed at a la	ter date.		
 Priority - foreign applications under 35 U.S.C. §119(a)-(d) or §365(b) or PCT international applications under 35 U.S.C. §365(a) designating at least one count than the U.S. [X] Priority of the following foreign application is claimed: 				ting at least one country other		
	Country		Application No.		Filed	
Japan		2000-08	R3500 I	March 24, 2000		
				,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
<u></u>	Certific	ed copy: [X] is attac				
7.			ched. [] will fo	ollow.		
7.	Priority	ed copy: [X] is attac	ched. [] will fo	ollow. (s) - 35 U.S.C. §1	19(e)	
7.	Priority	ed copy: [X] is attac	ched. [] will fo	ollow. (s) - 35 U.S.C. §1	19(e)	

This application is being filed in accordance with 37 C.F.R. §1.52(d) and §608.01 of the MPEP. Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the verified English translation and the processing

Language

English

Non-English

[X]

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A.	Relate Back -	35	U.S.C	C. §119	(e)
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[]	Amend	l the specification b	y inserting before the first line the sentence:
	"This a	application claims p	riority of copending provisional application(s)
	Nο	filed on	11

8. Small entity status

- [] A statement claiming small entity status under 37 C.F.R. §§1.9 and 1.27 is enclosed.
- 9. Fee Calculation (37 C.F.R. §1.16)

CLAIMS FOR FEE CALCULATION				
	Number Filed	Number Extra	at Rate of	Basic Fee Utility \$710.00 Design \$320.00
Total Claims (37 C.F.R. §1.16(c))	2 - 20 =	0	\$ 18.00 each=	\$0.00
Independent Claims (37 C.F.R. §1.16(b))	1 - 3 =	0	\$ 80.00 each=	\$0.00
Multiple dependent claim	+			
			SUB-TOTAL =	\$710.00
	- \$			
	\$710.00			

10. Fee Payment

[] Not Enclosed. NO FEE IS BEING PAID BY CHECK OR DEPOSIT ACCOUNT AT THIS TIME.

This application is being filed under the provisions of 37 C.F.R. §1.53(f). Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the Declaration and paying the filing fees.

[X] Enclosed.

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Two checks in the amounts of \$710.00 and \$40.00 representing the basic filing fee of \$710.00 and an assignment recording fee of \$40.00 are enclosed.

- 11. [X] Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-0310. This paragraph is intended to be a CONSTRUCTIVE PETITION FOR EXTENSION OF TIME in accordance with 37 C.F.R. §1.136(a)(3).
- 12. Additional papers enclosed:

[] Preliminary Amendm	lment
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- [] Information Disclosure Statement
- [] Form PTO-1449, ___ document included
- Declaration of Biological Deposit
- [] Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

Please accord this application an application number and filing date.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

Robert J. Goodell Reg. No. 41,040

Dated: March 21, 2001

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